



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

10/5241 PCT/EP 03/09009

Res'd PCT/PTO

11 FEB 2005

REC'D 23 OCT 2003

WIPO

PCT

Bescheinigung

Certificate

Attestation

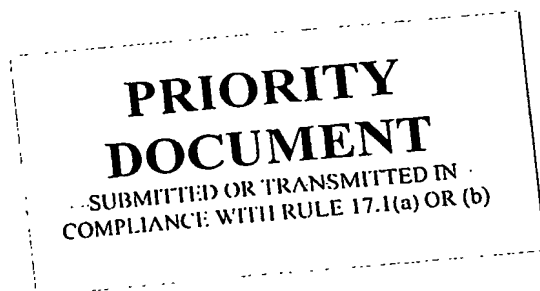
Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02078336.1



Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

BEST AVAILABLE COPY



Anmeldung Nr:  
Application no.: 02078336.1  
Demande no:

Anmeldetag:  
Date of filing: 12.08.02  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Bio Minerals N.V.  
Zenderstraat 10  
9070 Destelbergen  
BELGIQUE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

Method for the preparation of a silicic acid comprising extrudate, said  
extrudate, its use and a pharmaceutical composition comprising the said extrudate

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

C01B33/00

Am Anmeldetag benannte Vertragsstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SK TR

**METHOD FOR THE PREPARATION OF A SILICIC ACID**

**5 COMPRISING EXTRUDATE, SAID EXTRUDATE, ITS USE AND A  
PHARMACEUTICAL COMPOSITION COMPRISING THE SAID EXTRUDATE.**

The present invention relates to a method for the preparation of a  
silicic acid comprising extrudate, to the said extrudate, to its particular uses and to a  
10 pharmaceutical composition which comprises the extrudate obtainable with the said  
method.

Silicon (Si) was reported to have an essential role in several organisms  
such as diatoms, Si accumulating plants, birds, and mammals. The formation of  
connective tissue components and other more specialized tissues such as bone and  
15 cartilage were shown to be dependent on the Si status. Dietary Si deficiency causes  
bone deformation, a thinner cortex, and a less calcified bone matrix (*Carlisle, 1989,*  
*Silicon in : Handbook of Nutritionally Essential Mineral Elements, ed. B.L. Dell and*  
*R.A. Sunde, Marcel Dekker Inc., New York, pp. 603-618*). Silicon deprivation in rats  
results in an altered bone mineral composition and decreased activity of bone  
20 specific phosphatase enzymes (*Seaborn et al., 1994, J Trace Elem Exp Med, 7, 11*).  
Therapeutic applications of silicon compounds were reported both in preclinical and  
clinical studies for a variety of diseases such as osteoporosis, atherosclerosis,  
neurodegenerative disorders, hypertension, aged skin, fragile hair and brittle nails,  
fungal infections, immunodeficiency, and connective tissue related diseases in  
25 general.

The bioavailability of silicon largely depends on its chemical form. Solid  
dietary silicon compounds have a low solubility and are poorly absorbed in the  
gastro-intestinal tract. Soluble silicon compounds found in beverages such as water  
and beer are readily absorbed and regarded as bioavailable sources of silicon.  
30 Orthosilicic acid which is the water soluble silicon compound present in these  
beverages is only stable at dilute concentrations. Concentrated complexes of  
orthosilicic acid were described with stabilizing agents such quaternary ammonium  
compounds and amino acids ("*Stabilized orthosilicic acid comprising preparation and*  
*biological preparation*", *US 5,922,360 and EP 0473922B1*). These stabilized forms of

orthosilicic acid were found to have a very high bioavailability compared to other silicon compounds in both animals and humans when administered as a liquid concentrate (*Calomme et al., 1998, Comparative bioavailability study of silicon supplements in healthy subjects, Journal of Parenteral and Enteral Nutrition, 22, S12* and *Van Dyck et al., 1999, Bioavailability of silicon from foods and food supplements, Fresenius Journal of Analytical Chemistry, 363, 541 – 544*). A solid galenic form is preferred compared to liquid formulations when considering important issues such as dosing accuracy and compliance.

Several experiments were made in order to formulate a bioavailable, solid galenic formulation of silicic acid stabilized with quaternary ammonium compounds such as choline chloride, or an amino acid source. It is very difficult to make such a preparation since orthosilicic acid rapidly converts into non-bioavailable gels and precipitates. In fact, the addition of solid or semi-solid excipients without the addition of a non-toxic solvent agent result in polymerization or gelformation of orthosilicic acid into macromolecules, thereby decreasing the bioavailability of the final preparation. Direct filling of gelatine or methylcellulose capsules with a liquid matrix of choline stabilized silicic acid results in deformation and leaking of the capsule when incubated in stability tests. Stabilizing agents for orthosilicic acid such as choline chloride are extremely hygroscopic and attract water from the surrounding capsule which finally results in a deformed capsule.

The present invention solves this problem and provides in a first aspect a method for the preparation of a bioavailable silicic acid comprising extrudate, comprising the steps of:

- i) forming of stabilized silicic acid, by hydrolyzing a silicon compound into orthosilicic acid and/or oligomers thereof in the presence of a stabilizing agent, which is a quaternary ammonium compound, or an amino-acid, or an amino acid source or combinations thereof; and
- ii) mixing of the stabilized silicic acid with a carrier in an amount upto the loading capacity of the carrier for silicic acid; and
- iii) extruding the resulting mixture thereby forming the extrudate.

A second aspect of the present invention provides the said extrudate for use in the production of animal feed or feed supplement, human food and food supplement and of a pharmaceutical or cosmetic preparation, and for the treatment

of infections, nails, hair, skin, teeth, collagen, connective tissue, bones, cell generation and degenerative (ageing) processes.

A third aspect of the present invention relates to a pharmaceutical composition comprising the said extrudate.

5 In a preferred embodiment of the invention orthosilicic acid and oligomers thereof are used. Polymers of orthosilicic acid (OSA) are macromolecules formed from hundred or thousands of units called monomers (OSA) whereas oligomers are molecules of intermediate size – much larger than monomers (OSA) but less than macromolecules (*Brinker CJ et al, Sol-Gel Science, The physics and*  
10 *Chemistry of Sol-gel processing, Academic Press, Boston, p. 5*). Generally oligomers of orthosilicic acid comprise up to about 100 orthosilicic acid units, such as 2-50, 2-40, or 2-30 orthosilicic acid units. As precursors of orthosilicic acid, hydrolysable silicon compounds are used such as silicon halogenides, silicon esters, silicates or alkylsilanol compounds such as ethoxysilanol. As a stabilizing agent a quaternary  
15 ammonium compound such as choline chloride, an amino acid such as proline, serine, lysine, arginine, glycine or combinations thereof or sources of amino acids such as polypeptides and protein hydrolysates can be used, such as porcine collagene, or gelatine. A particular preferred embodiment of the invention is wherein the stabilized silicic acid and oligomers thereof comprises a silicon content of 2.5-  
20 3.5% by volume, a choline content 65-75% by weight and a water content of 15-25% by weight.

To provide a bioavailable solid form of the stabilized silicic acid, a carrier excipient, which can be used in extrusion technology, is added. Typical compounds that can be used as carriers for stabilized silicic acid are cellulose or a  
25 derivatives thereof such as microcrystalline cellulose, hydroxypropylcellulose, hydroxypropylmethylcellulose, carboxymethylcellulose, and cellulose gum. Other carriers or combinations with cellulose can be selected from sugars such as lactose, pectines and alginates, poly- and oligosaccharides such as malto-dextrine, glucans and derivatives thereof, starch and derivatives thereof, and natural and semi-  
30 synthetic fibers, proteins and protein hydrolysates.

In a preferred embodiment of the invention microcrystalline cellulose is used as a carrier for stabilized silicic acid. This results in a plastic mass which can be extruded and spheronized in pellets with a desired narrow particle size distribution. In the preferred embodiment the loading capacity for silicic acid is < 50%, this means

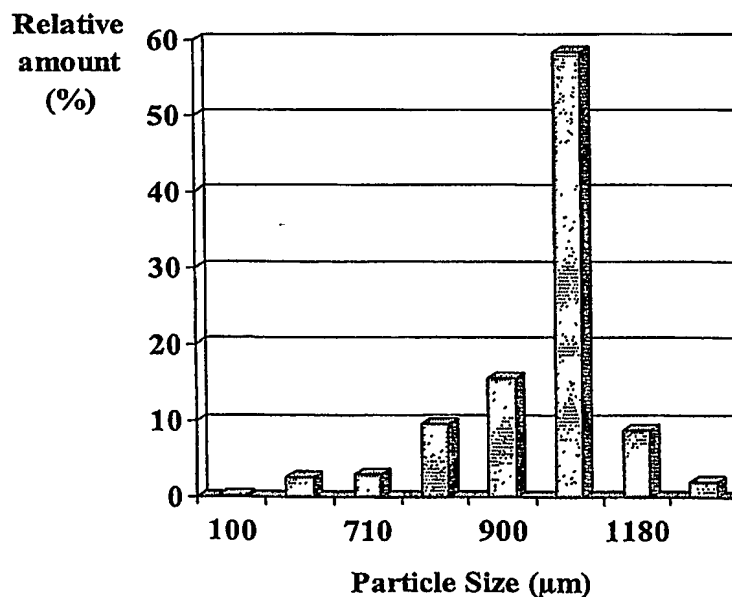
that a maximum of 50 % by weight stabilized silicic acid is mixed with 50 % by weight microcrystalline cellulose and an appropriate volume of water is added, sufficient to obtain the necessary granulate properties. A more preferred embodiment is to use 35 % by weight choline stabilized silicic acid with 65 % by weight microcrystalline cellulose.

EP 1 110 909 A1 discloses a silicic acid based preparation, which is prepared by using a solvent agent.

The extruded strands are, in a preferred embodiment of the invention, transferred into a spheronizer where upon contact with a rotating friction plate, they are instantaneously broken down into particles. The obtained particles are dried to pellets by fluid bed drying or an another method using preferably a maximum temperature of 70 °C. The final water content of the pellets after drying is preferably kept below 5 % by weight. Higher water concentrations or drying temperatures above 70 °C are *preferably* avoided to limit polycondensation of the stabilized silicic acid.

Sieve analysis of the obtained pellets show that following the preferred method more than 90 % of the pellets have a size between 800-1200  $\mu\text{m}$  (see figure 1). The obtained pellets can be encapsulated, pressed to tablets, or used as a component in pharmaceutical preparations or in the manufacturing of food or animal feed.

**Fig 1 :** Particle size distribution of pellets obtained by extrusion-spheronization of choline stabilized silicic acid with microcrystalline cellulose as carrier.



The silicic acid extrudate according to the invention can be administered orally or in any other suitable fashion in the prevention and treatment of cardiovascular diseases such as atherosclerosis, musculoskeletal disorders such as osteopenia and tendinitis, chronic infections with destruction of the mucous membranes, forms of sinusitis and ulcers, infections such as dermatomycosis, neurological disorders, degenerative (ageing)- processes, immunodeficiency, and diseases affecting connective tissue and specialized tissue such as bone, teeth, nails, hair and skin.

Mentioned and further features and advantages of the present invention will be appreciated on the basis of the following drawings and examples. These examples are given for illustration purposes and are not intended to limit the scope of the invention.

#### Preparation example A

Choline chloride is treated with dry hydrochloric acid. Silicon (IV) tetrachloride is added to the formed choline solution (ratio  $\text{SiCl}_4$  versus choline chloride : 1 mol per 1 to 5 mol). The resulting solution is hydrolyzed by adding water (ice/ice water) while cooling within a temperature range of  $-10^\circ\text{C}$  to  $-30^\circ\text{C}$ . The solution is neutralized by adding sodiumhydroxide and maintaining the temperature below  $0^\circ\text{C}$ . The final pH is between 1 – 1.5. Following a purification by active carbon, the precipitate is filtered off together with the active carbon. The water content is reduced by distillation under vacuum until a preparation is obtained containing 2.5 – 3.5 % silicon by volume, 65 – 75 % choline by weight, and 15 – 25 % water by weight. 35 % of the stabilized silicic acid solution (210 g) is slowly added to 65 % microcrystalline cellulose (Avicel pH 101 or Vivapur type 101, 1390 g) under continuous mixing. Demineralized water is added (approximately 17 % of the weight of Avicel ) to obtain the desired granulate properties. The wet mass is extruded using a basket extruder (Caleva Model 10, Sturminster Newton, UK). The extrudate is spheronized at 750 rpm during 2 to 3 minutes (Caleva Model 120 sferonizer, Sturminster Newton, UK). The resulting spheres are dried until their water content is below 5 % as determined by Karl-Fisher

titration. Pellets exposed to the air are rapidly absorbing water as is demonstrated as in table 1. The silicon content of the pellets is 0.7 – 1.2 % by weight.

Structure characterization using  $^{29}\text{Si}$ -NMR showed no signals between -30 and -70 ppm which is the spectral region for carbon (C) bonded silicon (Si). The spectrum showed resonances around -72, -82, -92, -102, and -112 which are characteristic for  $\text{Q}^0$ ,  $\text{Q}^1$ ,  $\text{Q}^2$ ,  $\text{Q}^3$ , and  $\text{Q}^4$  species respectively.

**Table 1 :** Water content of pellets obtained from a extrudate of choline stabilized silicic acid.

Time exposure to the air at room temperature (minutes)	Water content (%)
0	4.91
15	5.15
180	7.95

#### **Preparation example B**

- **Solution A** : Choline chloride is treated with dry hydrochloric acid. Silicon (IV) tetrachloride is added to the formed choline solution (ratio  $\text{SiCl}_4$  versus choline chloride : 1 mol per 1 to 5 mol).

- **Solution B** : A solution of porcine gelatine hydrolysate is prepared in water (1-5 g gelatine hydrolysate / 100 ml water).

Solution A and B are mixed and immediately thereafter the resulting solution is hydrolysed by adding water (ice/ice water) while cooling within a temperature range of -10 °C to -30 °C. The solution is neutralized by adding sodiumhydroxide and maintaining the temperature below 0 °C. The final pH is between 1 – 1.5. Following a purification by active carbon, the precipitate is filtered off together with the active carbon. The water content is reduced by distillation under vacuum. 35 % of the stabilized silicic acid solution (210 g) is slowly added to 65 % microcrystalline cellulose (Avicel pH 101 or Vivapur type 101, 1390 g) under continuous mixing.



Demineralized water is added (approximately 17 % of the weight of Avicel ) to obtain the desired granulate properties. The wet mass is extruded using a basket extruder (Caleva Model 10, Sturminster Newton, UK). The extrudate is spheronized at 750 rpm during 2 to 3 minutes (Caleva Model 120 sferonizer, Sturminster Newton, UK).

- 5 The resulting spheres are dried until their water content is below 5 % as determined by Karl-Fisher titration. Pellets exposed to the air are rapidly absorbing water as is demonstrated as in table 1. The silicon content of the pellets is 0.2 – 1.2 % by weight.

### Preparation example C

- 10 Choline chloride is treated with dry hydrochloric acid. Silicon (IV) tetrachloride is added to the formed choline solution (ratio  $\text{SiCl}_4$  versus choline chloride : 1 mol per 1 to 5 mol). The resulting solution is hydrolyzed by adding water (ice/ice water) while cooling within a temperature range of  $-10\text{ }^{\circ}\text{C}$  to  $-30\text{ }^{\circ}\text{C}$ . The solution is neutralized by adding sodiumhydroxide and maintaining the temperature below  $0\text{ }^{\circ}\text{C}$ . The final pH is
- 15 between 1 – 1.5. Following a purification by active carbon, the precipitate is filtered off together with the active carbon. A solution of collagen hydrolysate in water (5 % w/v) is added in a ratio of 1:1. The water content is reduced by distillation under vacuum. 35 % of the stabilized silicic acid solution (210 g) is slowly added to 65 % microcrystalline cellulose (Avicel pH 101 or Vivapur type 101, 1390 g) under
- 20 continuous mixing. Demineralized water is added (approximately 17 % of the weight of Avicel ) to obtain the desired granulate properties. The wet mass is extruded using a basket extruder (Caleva Model 10, Sturminster Newton, UK). The extrudate is spheronized at 750 rpm during 2 to 3 minutes (Caleva Model 120 sferonizer, Sturminster Newton, UK). The resulting spheres are dried until their water content is
- 25 below 5 % as determined by Karl-Fisher titration. Pellets exposed to the air are rapidly absorbing water as is demonstrated as in table 1. The silicon content of the pellets is 0.3 – 1.2 % by weight.

### Preparation example D

- 30 Choline chloride is treated with dry hydrochloric acid. Silicon (IV) tetrachloride is added to the formed choline solution (ratio  $\text{SiCl}_4$  versus choline chloride : 1 mol per 1 to 5 mol). The resulting solution is hydrolyzed by adding water (ice/ice water) while cooling within a temperature range of  $-10\text{ }^{\circ}\text{C}$  to  $-30\text{ }^{\circ}\text{C}$ . The solution is neutralized by

adding sodiumhydroxide and maintaining the temperature below 0 °C. The final pH is between 1 – 1.5. Following a purification by active carbon, the precipitate is filtered off together with the active carbon. The water content is reduced by distillation under vacuum. 35 % of the stabilized silicic acid solution (210 g) is slowly added to 50 % microcrystalline cellulose (Avicel pH 101 or Vivapur type 101, 1390 g) and 15 % dry collagen hydrolysate under continuous mixing. Demineralized water is added (approximately 17 % of the weight of Avicel ) to obtain the desired granulate properties. The wet mass is extruded using a basket extruder (Caleva Model 10, Sturminster Newton, UK). The extrudate is spheronized at 750 rpm during 2 to 3 minutes (Caleva Model 120 sferonizer, Sturminster Newton, UK). The resulting spheres are dried until their water content is below 5 % as determined by Karl-Fisher titration. Pellets exposed to the air are rapidly absorbing water as is demonstrated as in table 1. The silicon content of the pellets is 0.3 – 1.2 % by weight.

#### Formulation example A

Pellets made according to the preparation example were encapsulated in vegecaps size o. The capsules were blistered in alu-alu blisters or packed in a high density polyethelene (HDPE) bottle and cover. The bottles were sealed and a silica gel sachet was enclosed. The packed pellets were incubated at 40 °C and 75 % relative humidity for 6 months. After this incubation period the water content of pellets in both packaging materials was found to be comparable to the water content before incubation (see table 2).

**Table 2 :** Water content of pellets obtained from a extrudate of choline stabilized silicic acid after incubation at 40 °C and 75 % relative humidity

Packaging Material	Water content pellets (%)		
	Prior to incubation	3 months incubation	6 months incubation
Alu-alu blister	7.0	7.0	6.6
HDPE bottle	6.5	6.9	7.3

### Formulation example B

Pellets made according to the preparation example were encapsulated in vegecaps size o. The mean weight of pellets per capsule was 503 mg which was equal to a silicon dose per capsule of 4,5 mg.

Twelve healthy subjects (6 males, 6 females, age : 23-51 y) were included after informed, written consent. None had taken Si supplements within 3 months before the start of the study. Each fasting subject was administered in a cross-over protocol Si orally as follows : 9 mg of Si in the form of liquid choline stabilized orthosilicic acid (see fig. 2 "liquid") and one week later 2 capsules of pelletized extrudate (see fig. 2 "extrudate"). Blood samples were collected in Si free polypropylene tubes prior to supplementation and after 1, 2, 4, 6, and 8 hours post partem. Identical meals were consumed during the experiment at 2 and 6 hours after the silicon supplement was administered. The Si concentration was determined in serum with AAS (Zeeman Atomic Absorption Spectrometer, Perkin Elmer Corp., see fig. 2). The area under the time curve was calculated using the linear trapezoidal rule and was used as a parameter of the total Si absorption ("bioavailability") within a period of 8 hours after the supplement was administrated (see fig. 3).

The bioavailability of the extruded form of stabilized silicic acid was completely comparable to the liquid form and both forms had a similar kinetic profile in serum.

25

30

Fig. 2 : Increase in serum silicon concentration from the baseline level in 12 healthy subjects after supplementation of respectively liquid stabilized orthosilicic acid ("liquid") and extruded stabilized silicic acid ("extrudate"). The supplementation dose was 9 mg Si in both cases.

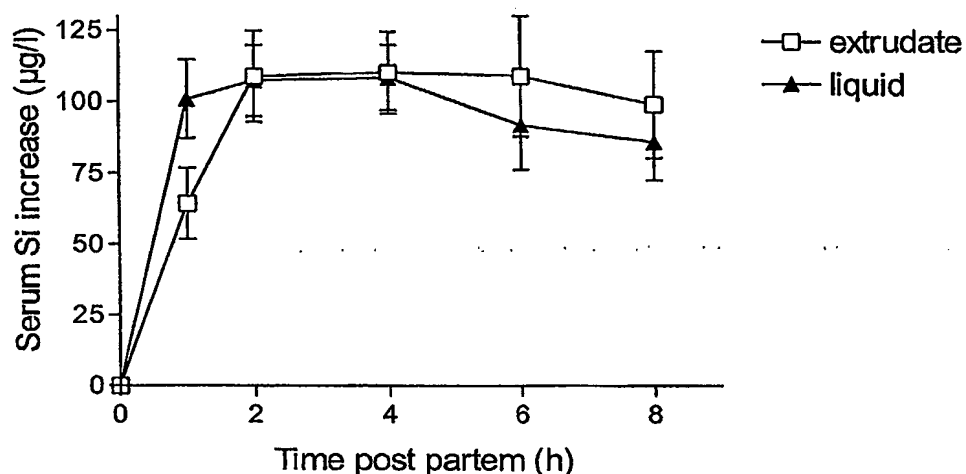
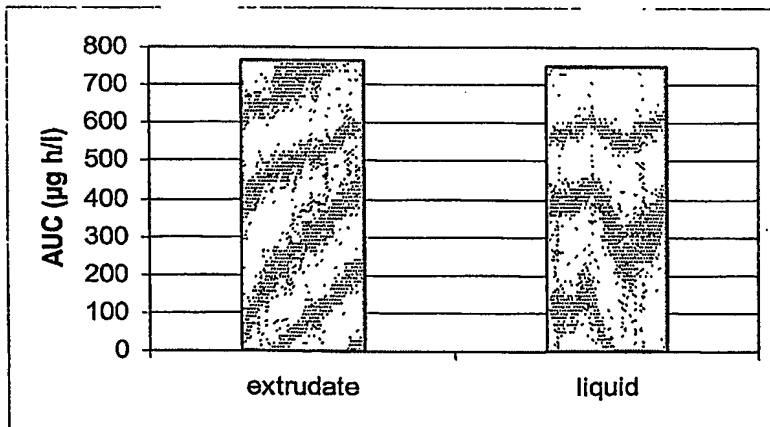


Fig. 3 : Total absorption of silicon in serum over a period of 0-8 hours after supplementation of respectively liquid stabilized orthosilicic acid ("liquid", 9 mg Si) and extruded stabilized silicic acid ("extrudate", 9 mg Si).



**CLAIMS**

1. Method for the preparation of a silicic acid comprising extrudate, comprising the steps of:

i) forming of stabilized silicic acid, by hydrolysing a silicon compound into orthosilicic acid and/or oligomers thereof in the presence of a stabilizing agent, which is a quaternary ammonium compound, or an amino-acid, or an amino acid source or combinations thereof;

ii) mixing of the stabilized silicic acid with a carrier in an amount upto the loading capacity of the carrier for silicic acid; and

iii) extruding the resulting mixture thereby forming the extrudate.

2. Method according to claim 1, wherein silicic acid is orthosilicic acid and/or oligomers.

3. Method according to claim 1-2, wherein the quaternary ammonium compound is choline chloride.

4. Method according to claim 1-2, wherein the amino-acid is proline, serine, lysine, arginine, glycine or combinations thereof.

5. Method according to claim 1-2, wherein the amino acid source is a polypeptide or a protein hydrolysate.

6. Method according to claim 1-5, wherein the stabilized silicic acid comprises a silicon content of 2.5-3.5% by volume, a choline content of 65-75% by weight and a water content of 15-25% by weight

7. Method according to claim 1-6, wherein the carrier is mixed with the stabilised silicic acid in a ratio of 65-50 % and 35-50 % respectively.

8. Method according to claim 1-7, wherein the carrier is cellulose  
5 or a derivatives thereof such as microcrystalline cellulose, hydroxypropylcellulose, hydroxypropylmethylcellulose, carboxymethylcellulose, and cellulose gum and/or other carriers or combinations selected from sugars such as lactose, pectines and alginates, poly- and oligosaccharaides such as malto-dextrine, glucans and derivatives thereof, starch and derivatives thereof, and natural and semi-synthetic  
10 fibers, protein and protein hydrolysates.

9. Method according to claim 1-8, wherein the carrier is microcrystalline cellulose and the loading capacity for stabilised silicic acid is  $< 50\%$ .

10. Method according to claim 1-9, wherein the extrudate is spheronized into particles

11. Method according to claims 1-10, wherein the particles are dried, preferably having a particle size between about 800 to about 1200  $\mu\text{m}$ .

12. Extrudates obtainable with the method according to claims 1-11.

13. An extrudate according to claim 12 for use in the production of animal feed, feed supplement, human food and/or food supplement and of a  
20 pharmaceutical or cosmetic preparation, and for the treatment of infections, nails, hair, skin, teeth, collagen, connective tissue, bones, cell generation and degenerative (ageing) processes.

14. A pharmaceutical composition comprising an extrudate according to claim 12.

2K/AN66/SV/BioMin-4.EU

EPO - DG 1

12.08.2002

**ABSTRACT**

(98)

5

The invention relates to a method for the preparation of a silicic acid comprising extrudate, comprising the steps of:

10 i) forming of stabilised silicic acid, by hydrolysing a silicon compound into orthosilicic acid and/or oligomers thereof in the presence of a stabilizing agent, which is a quaternary ammonium compound, or an amino-acid, or an amino acid source or combinations thereof;

ii) mixing of the stabilised silicic acid with a carrier in an amount upto the loading capacity of the carrier for silicic acid; and

15 iii) extruding the resulting mixture thereby forming the extrudate, to extrudates obtainable with the method, to an extrudate for use in the production of animal feed, feed supplement, human food and/or food supplement and of a pharmaceutical or cosmetic preparation, and for the treatment of infections, nails, hair, skin, teeth, collagen, connective tissue, bones, cell generation and degenerative (ageing) processes, and to a pharmaceutical composition comprising  
20 an extrudate.

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**